

Distributor Name and ARN	Sub Agent's Code	For Office use only
Distributor Contact No:		

### 1. FIRST APPLICANT'S DETAILS

**Name of First Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**Existing Folio No** \_\_\_\_\_ / \_\_\_\_\_ (If you have an existing folio number with PAN and KYC validation, please mention the number here and skip to section 5. Mode of holding will be as per existing folio number)

**Date of Birth** (Mandatory for minor) DD / MM / YYYY Gender  Male  Female

**Email ID** (in capital) \_\_\_\_\_

**PAN** (1st applicant / guardian) \_\_\_\_\_ **Enclosed** (Please tick )  Attested PAN card copy  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**Name of Guardian** if minor / **Contact Person** for non-individuals / **PoA** Holder name: \_\_\_\_\_ **PoA PAN\*** \_\_\_\_\_

**Address for Correspondence** (P.O. Box address is not sufficient) \*PoA should be KYC compliant and also attach KYC Acknowledgement

\_\_\_\_\_  
 City \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_ State \_\_\_\_\_  
 STD Code \_\_\_\_\_ Telephone \_\_\_\_\_ Fax \_\_\_\_\_  
 Mobile +91 \_\_\_\_\_

**Overseas Address** (mandatory for NRI / FII applicants in addition to mailing address in India) (P. O. Box address is not sufficient)

\_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_  
 Country \_\_\_\_\_

**Status of Sole/1st Applicant** (Please tick )  Resident Individual  NRI (Repatriable)  NRI (on Non-Repatriable basis)  Minor through guardian  
 HUF  Proprietary Firm  Partnership Firm  Trust/Society  Company  Body Corporate  PSI  Insurance Company  Provident Fund / PF  
 Bank / FI  FII  Pension Fund  Registered Portfolio Manager  NBFC  Other \_\_\_\_\_ (Please specify)

**Occupation** (Please )  Service  Professional  Business  Housewife  Retired  Student  Other \_\_\_\_\_

### 2. JOINT APPLICANTS' DETAILS

**Name of Second Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (2nd applicant) \_\_\_\_\_ **Enclosed** (Please tick )  Attested PAN card copy  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

**Name of Third Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (3rd applicant) \_\_\_\_\_ **Enclosed** (Please tick )  Attested PAN card copy

**Mode of Holding** (Please tick )  Single  Anyone or survivor  Joint (Default)  KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

### ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.  
 From \_\_\_\_\_  
 vide cheque number \_\_\_\_\_

- Checklist  All Investments  Bank Mandate is provided  
 PAN Card copy (Attested with a seal by a Distributor, Bank Manager, Notary)  
 KYC Acknowledgement (Mandatory for all subscriptions of Rs. 50,000/- or more)

### DSP BLACKROCK MUTUAL FUND

Application No. \_\_\_\_\_

### 3. BANK ACCOUNT DETAILS (Refer Instruction 3) (Mandatory)

Bank Name												
Bank Account No.								Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO
Branch Address												
	City					Pin						
9 Digit MICR code	(This is a 9 digit number next to your cheque number)					IFSC code: (11 digit)						

### 4. OTHER FACILITIES / EMAIL COMMUNICATION (Please ✓)

I wish to receive the following documents via email in lieu of physical document(s)  I would like to receive a PIN (for telephone & internet transactions, as and when started)

Account Statement  Newsletter & Annual Report  Other statutory information

### 5. INVESTMENT AND PAYMENT DETAILS (Refer Instruction 5)

(Default plan/option/sub option will be applied in case of no information, ambiguity or discrepancy)

Scheme Name	Plan	Option & Sub Option
Cheque / DD No.	Cheque/DD Date	D D / M M / Y Y Y Y
Amount of Cheque/DD (Rs.) (i)	Drawn on Bank/ Branch Name)	
DD charges, if any, (Rs.) (ii)		
Total Amount	In Words (Rs.)	
(i) + (ii)	In figures (Rs.)	Account Type (Please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR

Scheme Name	Plan	Option & Sub Option
Cheque / DD No.	Cheque/DD Date	D D / M M / Y Y Y Y
Amount of Cheque/DD (Rs.) (i)	Drawn on Bank/ Branch Name)	
DD charges, if any, (Rs.) (ii)		
Total Amount	In Words (Rs.)	
(i) + (ii)	In figures (Rs.)	Account Type (Please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR

### 6. NOMINATION DETAILS (Refer Instruction 6)

I/We do hereby nominate the person described hereunder and cancel the nomination made earlier by us in respect of Units held by me/us.

Nominee Name											
Guardian Name								Relationship			
Address											
City											
Pin Code						Signature of Nominee / Guardian					
Nominee Date of Birth	D D / M M / Y Y Y Y										

### 7. DECLARATION & SIGNATURES

Having read and understood the contents of the combined Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions, I/We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP BlackRock Mutual Fund. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

#### Applicable to NRIs only

I/We confirm that I am/We are No-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

If NRI  Repatriation basis  Non-Repatriation basis

SIGNATURE (S)

Sole / First Applicant/ Guardian

Second Applicant

Third Applicant

[www.dspblackrock.com](http://www.dspblackrock.com)

Toll Free Number: 1800 345 4499 (MTNL/BSNL Lines)  
Alternative Number: 044 3048 2855

Email: [service@dspblackrock.com](mailto:service@dspblackrock.com)

Local Service Centre: 1901 425 1234

NEW REGISTRATION  RENEWAL OF REGISTRATION

### REGISTRATION CUM MANDATE FORM FOR ECS (Debit clearing) / Direct Debit/Standing Instructions

BROKER ARN:	Sub Agent's Code	For Office use only
Contact No:		

### INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name			
Application No. (New Investor)		Or Existing Investor Folio No.	/
Scheme			
Plan		Option/Sub option	
Email ID: (In capital)			
Mobile Number:	+ 9 1	(For SMS Alerts)	(For Email Delivery instead of physical account statement.)
	Sole / First Applicant / Guardian	Second Applicant / Guardian	Third Applicant / Guardian
<b>PAN</b> (Provide attested PAN card copy)			

### SIP AND DEBIT DETAILS

Each SIP Amount (Rs.) <small>(Minimum Rs. 1,000/-)</small>		Frequency	<input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly
First SIP Cheque No.:		Cheque date	DD / MM / YYYY
<small>(Cheque amount same as Auto Debit Amount) (Note: Cheque should be drawn on bank whose details are provided below)</small>			
Mandatory Enclosure (If 1st instalment is not by cheque)	<input type="checkbox"/> Blank Cancelled Cheque <input type="checkbox"/> Copy of Cheque		
SIP Debit Dates:	<input type="checkbox"/> 1 <sup>st</sup> * <input type="checkbox"/> 7 <sup>th</sup> <input type="checkbox"/> 14 <sup>th</sup> <input type="checkbox"/> 21 <sup>st</sup> <input type="checkbox"/> All four dates of the month / quarter (minimum 12 instalments).		
SIP Period	Start Month	End Month	*Default
<small>(Note: There should be a minimum time gap of one month and maximum time gap of two months between the first cheque for SIP investment and first instalment of SIP Debit)</small>			

### PARTICULARS OF BANK ACCOUNT

I/We hereby authorise DSP BlackRock Mutual Fund and their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) / Direct Debit/SI to account for collection of SIP payments.

Accountholder Name as in Bank Account			
Bank Name			
Branch Name & Address			
Account Number <small>(Core Banking No. in full)</small>		Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO
9 Digit MICR Code	◀ (Please enter the 9 digit number that appears after your cheque number)		

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Information and Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DSP BlackRock Mutual Fund mentioned within, I hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS/Direct Debit/Standing Instructions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible, I will also inform DSP BlackRock Mutual Fund, its service providers and bank about any changes in my bank account. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf.

First Account Holder's Signature	(As in Bank Records)	Second Account Holder's Signature	(As in Bank Records)	Third Account Holder's Signature	(As in Bank Records)
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### For Office Use only (Not to be filled in by Investor)

Scheme Code		Credit Account Number	
Bank use Mandate Ref. No.		Customer Ref. No.	

### Authorisation of the Bank Accountholder (to be signed by the Bank Accountholder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit/Standing Instructions Facility and that my/our payment towards my/our investment in DSP BlackRock Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative of DSP BlackRock Mutual Fund carrying this mandate form to get it verified & executed. I have read, understood and agreed to the terms and conditions of ECS (Debit)/Direct Debit/SI mentioned overleaf.

		Bank Account Number	
First Account Holder's Signature	(As in Bank Records)	Second Account Holder's Signature	(As in Bank Records)
		Third Account Holder's Signature	(As in Bank Records)

### Acknowledgement (Subject to verification)

### DSP BlackRock MUTUAL FUND

Investor's Name			
Folio No.	/	SIP Amount (Rs.)	Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly
SIP Date	Scheme		

## SIP ECS (Debit Clearing) / Direct Debit / Standing Instructions: Terms & Conditions

### List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

- Agra • Ahmedabad • Allahabad • Amritsar • Asansol • Aurangabad • Bangalore • Baroda • Bhilwara • Bhopal
- Bhubaneswar • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Dehradun • Delhi • Dhanbad • Durgapur
- Erode • Gorakhpur • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar
- Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mangalore • Mumbai
- Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raipur • Rajkot • Ranchi • Salem • Shimla • Sholapur • Siliguri • Surat • Tirupati • Tirupur • Trichur • Trichy • Trivendrum • Udaipur • Udupi • Varanasi • Vijayawada • Vizag

### In other cities, facility available to account holders of Core Banking Branches of following banks

- Axis Bank • Bank of Baroda • Bank of India • Bank of Rajasthan • Citibank • HDFC Bank • ICICI Bank • IDBI Bank
- ING Vysya Bank • Punjab National Bank • Syndicate Bank

1. Please refer the SID, SAI, KIM and Addenda issued from time to time and Standard Offer Documents for Applicable NAV, Risk Factors, load and other information on the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
  2. This facility is only offered to those investors having bank accounts in select cities and with banks as mentioned above.
  3. If Investors submit a cheque and SIP application with a bank mandate not as mentioned above, their first cheque may get processed, however debit may not start and may get rejected. In such a case, the Mutual Fund will not entertain any request for refund of proceeds of first cheque.
  4. The list of cities, banks and branches may be modified / updated / changed / removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route/Direct debit/SI will be discontinued without prior notice.
  5. Complete application form and SIP debit form along with the first cheque should be submitted to the Fund or the Registrar. DSP BlackRock Fund Managers Ltd. (AMC) reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
  6. Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SIP facility.
  7. Existing Investors are required to submit the SIP debit form, indicating the existing Account/Folio Number and investment details in the SIP section of this form.
  8. To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously.
  9. Investors should preferably give a cheque for the first transaction, drawn on the same bank account which is to be registered for ECS Debit/Direct debit / standing instruction.
  10. In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to the bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively investors can also get the bank account mentioned on the form certified from their banker with the bank seal.
  11. It will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP start date mentioned on the form.
  12. The SIP period should cover minimum 12 instalments, whether monthly or quarterly.
  13. The Mutual Fund and the Registrar reserve the right to modify the SIP period depending on the one month period for registration and to ensure minimum twelve instalments, even if the customer has submitted the form late or requested for a period less than 12 instalments.
  14. If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. If no end date is specified or in the absence of SIP end date, SIP will be registered for a period of 10 years.
  15. The bank account provided for ECS (Debit) / Direct Debit / SI should participate in local clearing.
  16. SIP facility is available only on specific dates of the month, viz. 1st / 7th / 14th / 21st. In case "all four dates" is selected, SIP will be registered for a minimum of 3 months for each of the four days. The debit will start after minimum one month only and thereafter on all four dates.
  17. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
  18. The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID of the scheme concerned.
  19. The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of bank's computer system, force majeure events, or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
  20. Investors will not hold the AMC, its Registrar, banks and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
  21. For the purpose of SIP investments, the investor and/or bank accountholders authorizes AMC, its registrars, bankers, correspondent bankers and service providers, to raise a debit on the mentioned account with the bank branch. The investor and/or bank accountholders hereby authorizes the bank to honor all such requests received through AMC, its registrars, bankers and service providers to debit their Account with the amount requested, for due remittance of the proceeds to AMC.
- The investor/s or the bank accountholders shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. Investors will not have any claim against the AMC, its registrars, bankers, correspondent bankers and service providers, in respect of the amount so debited pursuant to the mandate submitted by the investor/account holder. Investor/s and/or the bank account holders shall keep the AMC, its Registrar, banks, correspondent banks and other service providers, jointly and or severally indemnified, from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by them, by reason of their acting upon the instructions issued by the named authorized signatories / beneficiaries. This request for debit mandate is valid and may be revoked only through a written letter from the investor submitted atleast one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at AMC/registrar and giving reasonable notice to effect such withdrawal.
22. SIP is not available in DSP BlackRock Liquidity Fund, DSP BlackRock Cash Plus Fund, DSP BlackRock Short Term Fund, DSP BlackRock Floating Rate Fund, DSP BlackRock Liquid Plus Fund - Institutional Plan, DSP BlackRock Small and Mid Cap Fund - Institutional Plan, DSP BlackRock Equity Fund - Institutional Plan, DSP BlackRock Top 100 Equity Fund - Institutional Plan, DSP BlackRock Opportunities Fund - Institutional Plan, DSP BlackRock India T.I.G.E.R. Fund - Institutional Plan, DSP BlackRock Technology.com Fund - Institutional Plan, DSP BlackRock Natural Resources and New Energy Fund - Institutional Plan and DSP BlackRock Strategic Bond Fund - Institutional Plan.
  23. The minimum investment amount for each SIP is Rs. 1,000/- in all Schemes
  24. To modify SIP debit bank mandate, the investor may fill a separate form, viz., "Change of Payment Mechanism form" which is available at all the offices of the Fund. Instructions in any other form / manner are liable to be rejected.
  25. This request for debit mandate is valid and may be revoked only through a written letter submitted atleast one month in advance withdrawing the mandate signed by the authorized signatories / beneficiaries and acknowledged at collection counters and giving reasonable notice to effect such withdrawal. SIP debit may be processed if the request is not submitted one month in advance.

### SIP AUTO DEBIT FORM CHECKLIST

- Application Form is complete in all respect. Name, Application Number/ Folio, Scheme, Plan, Option are mentioned clearly
- SIP Date, SIP Frequency has been ticked correctly
- Start Date and End Date is clearly mentioned as Month - Year.
- Bank Name, Full Branch Address, Full Account Number, MICR Code for debit has been mentioned clearly and legibly.
- Form is signed by all applicants as in bank records in two places as specified.

# SIP / SWP / STP FORM

Please refer to Instructions of KIM and as mentioned overleaf before filling the form.

## DSP BLACKROCK MUTUAL FUND

Broker Code	Sub Broker Code	Application No.
NAME OF UNITHOLDER / APPLICANT / GUARDIAN		FOLIO NO.
E-mail id to receive transaction confirmation / Account Statements etc.		
Sole / First Applicant / Guardian		Second Applicant / Guardian
Third Applicant / Guardian		
PAN (Provide attested PAN copy)		

### 1. SYSTEMATIC INVESTMENT PLAN (SIP) (Separate Cheque required for each investment)

Scheme, Plan	Option
Frequency (Please <input checked="" type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly)	
SIP Date: <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 14th <input type="checkbox"/> 21st <input type="checkbox"/> All four dates of the month	SIP Period From
Each SIP Amount Rs. _____ *Default options	To
(Minimum Rs. 1,000/-)	(Minimum 12 instalments) Cheque Nos. From
<input checked="" type="checkbox"/> All cheques should be of same date of the months/quarters.	To
Drawn on _____ Bank	Branch _____ City _____

### 2. SYSTEMATIC WITHDRAWAL PLAN (SWP) (Please allow 7 days to register SWP)

Scheme, Plan	Option
Withdrawal Amount	
<input type="checkbox"/> Fixed Sum of Rs. _____ (Minimum Rs. 1,000/-) <sup>#</sup>	Withdrawal period starting from
<input type="checkbox"/> Capital Appreciation, subject to a Minimum of Rs. 1,000/- <sup>#</sup>	(Period to cover - minimum 6 SWP transactions)
Withdrawal Dates <input type="checkbox"/> 1st* <input type="checkbox"/> 7th <input type="checkbox"/> 14th <input type="checkbox"/> 21st <input type="checkbox"/> All four SWP Dates	To
Frequency <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly * Default options	

### 3. SYSTEMATIC TRANSFER PLAN (STP) (Please allow 7 days to register STP)

STP From Scheme, Plan	Option
STP To Scheme, Plan	Option
Transfer Amount	
<input type="checkbox"/> Fixed Sum of Rs. _____ (Minimum Rs. 1,000/-) <sup>#</sup>	Transfer period starting from
<input type="checkbox"/> Capital Appreciation, subject to a Minimum of Rs. 1,000/- <sup>#</sup>	(Period to cover - minimum 6 STP transactions)
Transfer Dates <input type="checkbox"/> 1st* <input type="checkbox"/> 7th <input type="checkbox"/> 14th <input type="checkbox"/> 21st <input type="checkbox"/> All four STP Dates	To
Frequency <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly * Default options	

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

### DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Addenda issued till date of the Scheme & Plans mentioned above (including the target Scheme in case of STP) and Instructions overleaf, I / We apply to the Trustee, DSP BlackRock Mutual Fund, for SIP / SWP / STP, as the case may be, and agree to abide by the terms and conditions of SIP / SWP / STP. I / We agree to consider investment through STP as a deemed SIP investment. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment.

\_\_\_\_ / \_\_\_\_ / \_\_\_\_ / \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
D D M M Y Y Y Y

SIGNATURE (S)  
(All Holders to sign)

Sole / First Applicant / Guardian  
\_\_\_\_\_  
Second Applicant / Guardian  
\_\_\_\_\_  
Third Applicant / Guardian  
\_\_\_\_\_

### SIP / SWP / STP FORM - ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

ACCOUNT NO. _____ / _____	ISC Stamp & Signature
Received from _____	
An application for <input type="checkbox"/> SIP <input type="checkbox"/> SWP <input type="checkbox"/> STP	

## INSTRUCTION FOR SYSTEMATIC INVESTMENT (SIP)

- New investors investing in a Scheme / Plan using SIP should fill in all the sections in the Common Application Form except the section titled "Investment & Payment Details". Such investors should fill in their investment details in the SIP section of this form.
- Existing Investors are required to submit only this form (SIP / SWP / STP Form), indicating the existing Folio / Account Number, PAN details as applicable and investment details in the SIP section.
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SIP facility.
- Minimum Investment Amount for each SIP instalment is Rs. 1000/- in all schemes and SIP has to be for a minimum of 12 instalments.
- You can opt for an SIP on a monthly / quarterly basis by issuing post-dated cheques for a pre-defined amount.
- SIP Facility is available only on specific dates of the month viz 1st / 7th / 14th / 21st.. All Cheques should be of the same date of month / quarter and for the same amount.
- In case "All four dates of the month" is selected, minimum 3 cheques for each date should be given i.e. minimum 12 cheques should be given.
- The Cheques should be drawn in favour of "Name of the Scheme", as applicable. e.g. "DSP BlackRock Equity Fund"
- A separate SIP Form should be filled in for each Scheme / Plan / Option. Your folio number and name should be mentioned on the reverse of the Cheque.
- You can choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC WITHDRAWAL PLAN (SWP)

- New Investors investing in a scheme for the first time and who wish to avail SIP facility, should fill in all the sections in the Common Application Form. The withdrawal details should be indicated in the SWP section of this form.
- Existing Investors are required to submit only this form (SIP/SWP/STP Form) indicating the existing Account Number and withdrawal details in the SWP section.
- Please allow upto 7 days for SWP to be registered and the first SWP transaction to happen. Hence form should be submitted atleast 7 days before the SWP start date.
- SWP facility can be availed of only by Unit Holders with a minimum balance of Rs. 25,000/- in the folio at the time of SWP registration by the Registrar. If the balance is less than Rs. 25,000/-, SWP will not be registered.
- SWP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st. In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an SWP for a fixed amount or appreciation on a weekly (on all four SWP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option.
- SWP has to be for minimum a of 6 instalments irrespective of frequency i.e. six dates in case of weekly option or six months in case of monthly option or six quarters in case of quarterly option.
- SWP withdrawal request should not be below Rs.1000/-. For investors availing of the withdrawal of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.1,000, withdrawal as mentioned above, will not be carried out. Even if withdrawal is not carried out due to lack of appreciation, the SWP will be terminated at the end of the specified period.
- Where start date is not specified clearly, SWP will be registered to start from a period after seven days on the SWP date as available / mentioned. Where end date is not specified clearly, SWP will be registered for a period of three years.
- Investors may choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC TRANSFER PLAN (STP)

- If you are investing in a Scheme for the first time and wish to avail STP facility, please fill in all the sections in the Common Application Form. The transfer details should be indicated in the STP Section of this form.
- Existing Investors are required to submit only this form (SIP / SWP / STP Form) indicating the existing Account Number and transfer details in the STP section
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for STP facility.
- Please allow upto 7 days for the STP to be registered and the first STP transaction to happen. Hence form should be submitted atleast 7 days before the STP start date.
- STP facility can be availed of only by Unit Holders with a minimum balance of Rs.25,000/- in the folio at the time of SWP registration by the Registrar. If the balance is less than Rs.25,000/-, STP will not be registered.
- STP Facility is available only on specific dates of the month, viz. 1st / 7th / 14th / 21st In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an STP for a fixed amount or appreciation on a weekly (on all four STP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as the default option.
- STP has to be for a minimum of 6 transactions / transfers irrespective of frequency, i.e. six dates incase of weekly option or six months incase of monthly option or six quarters incase of quarterly option.
- Your transfer request should not be below Rs.1000/-. For investors availing of the transfer of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.1,000/-, switch as mentioned above, will not be carried out. Even if transfer is not carried out due to lack of appreciation, the STP will be terminated at the end of the specified period.
- Where start date is not specified clearly, STP will be registered to start from a period after seven days on the STP date as available / mentioned. Where end date is not specified clearly, STP will be registered for a period of three years.
- You can choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

**Website: [www.dspblackrock.com](http://www.dspblackrock.com)**

**email: [service@dspblackrock.com](mailto:service@dspblackrock.com)**

**Toll Free Call : 1800 345 4499**

**Local Service Centre: 1901 425 1234**

## Instructions

Please read carefully the Scheme Information Document, Statement of Additional Information, the Key Information Memorandum (KIM) and all relevant Addenda before filling up the application form. Investors are deemed to have read and accepted the terms subject to which these offers are being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification, and information will be sent to the address by general post.

### 1. General Instructions

- a. This application form may be used by both resident and non-resident investors.
- b. The application form should be filled in English in BLOCK LETTERS and the applicants' names and address should be provided in full (P.O. Box No. alone is not sufficient). Please tick (✓) in the appropriate box (☐), where boxes have been provided.
- c. Application forms that fall under any of the following categories are liable to be rejected and will not be returned to the customers. If applicable, the money paid will be refunded/returned without interest.
  - i. Application forms incomplete in any respect or where there is any over writing on the form without the applicant's counter signature
  - ii. Application forms invalid / incomplete / ambiguous / without supporting documents in any respect.
  - iii. Application forms that are not accompanied by a payment instrument for the amount payable, such as a cheque or a demand draft, or an account-to-account transfer instruction to credit the account of DSP BlackRock Mutual Fund (the Fund) (Designated Account) on the same day, in respect of a Direct Deposit Application.
  - iv. Application forms that the Trustee chooses to reject for any other reason determined at its sole discretion.
- d. No separate receipt will be issued for the application money. The bank / Investor Service Centre / Collection Centre will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application, subject to verification.
- e. Irrespective of mode of holding, the sole/first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.
- f. All allotments will be provisional, subject to realisation of payment instrument and subject to DSP BlackRock Investment Managers Ltd. (the AMC) having been reasonably satisfied that the Mutual Fund has received clear funds. Any redemption or switch out transaction in the interim is liable to be rejected at the sole discretion of the AMC.
- g. Any subsequent changes in static information like address, bank details, dividend sub option etc. will be based on written communication from investors. These changes will be effected within five days of the valid signed request reaching the office of the Registrar at Chennai, and any interim financial transactions will be effected with last available/registered details only.
- h. Investors shall note that they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited, if their investments (including SIP) are of value greater than or equal to Rs. 50,000. For more information, please log on to [www.DSPBRmutualfund.com/www.cvlindia.com/www.amfiindia.com](http://www.DSPBRmutualfund.com/www.cvlindia.com/www.amfiindia.com) before investing. Applications of Rs. 50,000 or more are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants.
- i. If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for writing Distributor Name and ARN. Any subsequent change / updation / removal of broker code will be based on the written request from the Unitholder and will be on a prospective basis only from the date when the Registrar executes such written instruction.

### 1. First Applicants' Details:

- a. Applicants should fill in all details as requested in the relevant section. Name should be provided in full.

- b. If the applicant/s already has/have a folio with the Mutual Fund, the applicant should fill in the folio number, in order to invest in the same folio. Investment in the same folio will be possible only if the name(s) of the holder(s), the order of the holders, the mode of holding and the folio no. are the same. The names of the holders, the mode of holding, etc., will remain as per the details available in the existing folio.
- c. PAN shall be the sole identification number and is mandatory for all investors (including joint applicants, guardians and NRIs), irrespective of the amount of transaction. Accordingly, investors shall be required to submit a photocopy of the PAN card, provided that it shall either be verified with the originals at the Collection Center or be verified/attested by AMFI registered distributors, bank managers or judicial authorities.
- d. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN, provided they mention their Sikkim address on application form and provide a proof of such address, duly verified by bank managers or judicial authorities.
- e. If the application is on behalf of a minor, the guardian's name and the date of birth of the minor should be provided.
- f. Applicants should provide contact information such as correspondence address, telephone number/s and email address, status of first applicant and occupation. In the case of NRIs, overseas address should be provided. To receive communication at an Indian address, NRIs should separately communicate Indian address and their folio to the Mutual Fund.
- g. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a duly certified true copy thereof) duly notarised or the relevant resolution or authority to make the application (or duly certified copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and/or Certificate of Registration.

### 2. Joint Applicants' Details:

- a. Joint applicants must fill in all details as requested in section 2.
- b. PAN and KYC compliance is mandatory for all applicants, irrespective of mode of holding. For more details, please refer General Instruction (h) and instruction 1(c).
- c. Applicants should specify the mode of holding. If the mode of holding is not specified or is ambiguous, the default option for such applications will be "Joint".
- d. In the case of joint holders, the first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.

### 3. Bank Account Details:

- a. To protect the interests of investors from fraudulent encashment of cheques and as per the SEBI Regulations, it is mandatory for all investors of mutual fund schemes to provide their bank mandate. Applications without the mandatory bank details are liable to be rejected.
- b. The Fund has entered into arrangements with certain banks for direct credit of redemption proceeds and dividends, if the Unit Holders have a bank mandate with any of such banks. However, the Mutual Fund reserves the right to issue a payment instrument in place of direct credit facility and will not be responsible for any delay on the part of the bank in executing the direct credit. The list of banks is available with the offices of the AMC and is subject to change from time to time without any prior notice.
- c. The investor agrees that the proceeds towards redemptions and dividends will be despatched by a reasonable mode of despatch like courier, post, UCP, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) in case of direct credit facility, entirely and solely at the risk of the investor. The investor will not hold the Mutual Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits, or due to incorrect bank account details provided by the investor.

- d. For subsequent request of change of bank mandate, any of the following documents should be mandatorily provided, along with the designated change request form:
  - i. copy of a cheque of new bank account or
  - ii. a cancelled cheque pertaining to new bank account or
  - iii. a letter from new bank certifying bank account details including MICR code & IFSC Code (where available)
- e. Any request without the above mentioned documents will be treated invalid and will not be processed and any financial transaction, including redemptions, will be carried with the last available/registered details only. Valid change of bank mandate requests with supporting documents will be processed within five days of documents reaching the head office of the registrar and any financial transaction request received in the interim will be carried with last available/registered details only.

#### 4. Other Facilities / Email Communication:

- a. Account statements, newsletters, annual reports and other kinds of communication can be sent efficiently via email, according to the investor's preference, as provided for in the application form; hence applicants are encouraged to provide their email address in the application form.
- b. Unit Holders who opt for newsletters or annual reports via email may be required to download them from the Fund's web site, after receiving an email communication from the Mutual Fund. Unit Holders if they wish, may also contact the Mutual Fund at any point of time to request for a physical copy of these documents.
- c. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.
- d. PIN facility: The Mutual Fund may provide the facility to transact over the telephone or on the Internet. Currently, the Mutual Fund provides transacting facility through telephone. For this purpose, Unit Holder who opt for this facility will be provided with a Personal Identification Number (PIN). PIN can be issued to investors to avail of various services. However only individuals whose mode of holding is "Single" will be allowed to transact over the phone / Internet. Applicants who wish to receive a PIN should read the terms and conditions of the PIN facility in the relevant Standard Information Document and tick the relevant box in Section 4 of the application form to request for a PIN. A PIN will be mailed to the Unit Holder entirely at his/her/its own risk.

#### 5. Investment and Payment Details:

- a. Investors should fill in the desired Plan / Option / Sub Option clearly in the space provided in the application form. In case the investor does not fill in the desired Plan / Option / Sub Option properly and clearly or in the case of incomplete details, lack of clarity or ambiguity, the default option will be considered and applied. The Mutual Fund will not entertain any request from Unit Holders for a change in Plan / Option / Sub Option after the application is accepted.
- b. Any change in dividend sub option due to additional investment or customer request will be applicable to all existing Units in the dividend option of the scheme concerned.
- c. Payments by cash, stock invests, credit cards, post-dated cheques (except through SIP), outstation cheques and post-dated account-to-account transfer instructions to credit the Designated Account will not be accepted.
- d. Investors residing in locations where Investor Service Centres or Collection Centres are not located are requested to make payment by demand drafts. Demand draft charges for such investors will be borne by the AMC, subject to the standard demand draft charges, as charged by the State Bank of India, and the investors attaching proof of the charges.
- e. The cheque or demand draft should be payable locally at the centre where the application is deposited, and should be drawn on any bank that is a member of the Bankers' Clearing House.
- f. The cheque or demand draft should be drawn in favour of 'DSP BLACKROCK (Scheme Name)', as the case may be, and should be crossed 'Account Payee Only'.

- g. A separate cheque should be given for each separate investment in a different scheme or plan or option.
- h. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested. NRI Applicants should also clearly tick on account type as NRE or NRO or FCNR to determine the repatriation status of the investment amount. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided in the NFO form under Investment and Payment details and will not be liable for any incorrect information provided by the applicants. Applicants will have to coordinate with their authorized dealers and banks to repatriate the investment amount as and when needed.
- i. For NRIs, FIs, and Persons of Indian Origin investing on a non-repatriable basis, payments may be made by cheques or demand drafts drawn on Non-Resident Ordinary (NRO) accounts or Non-Resident Special Rupee (NRSR) accounts, payable at the city where the application form is submitted.
- j. Returned cheques are liable not to be presented again for collection, and the accompanying application could also be rejected. In case returned cheques are presented, the necessary charges including returned charges may be debited to the investor.

#### 6. Nomination Details:

- a. Nomination can be made only by individuals applying for / holding Units on their own behalf singly or jointly. Non-individuals (including societies, trusts, bodies corporate, partnership firms, etc.), Kartas of Hindu Undivided Families (HUF) and holders of Power of Attorney cannot nominate. If Units are held jointly, all joint holders should sign the Nomination Form.
- b. A minor can be nominated, and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his/her office, or a religious or charitable trust.
- c. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. An NRI can be a nominee, subject to the exchange controls in force from time to time.
- d. Nomination in respect of Units stands rescinded upon redemption of the Units.
- e. Transfer of Units in favour of a nominee(s) shall be valid discharge by the AMC against the legal heir.
- f. Cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
- g. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the Units in favour of the nominee(s).
- h. The rights in the Units will vest in the nominee(s) only upon the death of all Unit Holders.
- i. Investors who desire to make their nomination in favour of more than one and upto three individuals, may do so by separately writing to the AMC/Registrar in the prescribed form, specifying clearly the percentage to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees.

#### 7. Declaration & Signatures

- a. Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India.
- b. Thumb impressions and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a magistrate or a Notary Public or a special Executive Magistrate under his/her official seal.
- c. Applications by minors should be signed by their guardians. In the case of an HUF, the Karta should sign on behalf of the HUF.
- d. Authorised officials should sign the form under their official designation and company seal. A list of specimen signatures of the authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment should be submitted.

**READY RECKONER FOR DSPBRMF SCHEMES**

	<b>Schemes</b>	<b>Plans</b>	<b>Options</b>	<b>Sub Options</b>	<b>Minimum Investment Amount</b>	<b>Minimum Additional Purchase</b>
<b>Equity Schemes</b>	<b>DSP BlackRock Equity Fund</b>	Regular Plan*	Dividend Growth*	Payout * Reinvest -	5,000 5,000	1,000 1,000
		Institutional Plan	Dividend Growth*	Payout * Reinvest -	5,00,00,000 5,00,00,000	1,000 1,000
	<b>DSP BlackRock Opportunities Fund</b>	Regular Plan*	Growth * Dividend	- Payout * Reinvest	5,000 5,000	1,000 1,000
		Institutional Plan	Growth * Dividend	- Payout * Reinvest	5,00,00,000 5,00,00,000	1,000 1,000
	<b>DSP BlackRock Technology.com Fund</b>	Regular Plan*	Growth * Dividend	- Payout * Reinvest	5,000 5,000	1,000 1,000
		Institutional Plan	Growth * Dividend	- Payout * Reinvest	5,00,00,000 5,00,00,000	1,000 1,000
	<b>DSP BlackRock Top 100 Equity Fund</b>	Regular Plan*	Growth * Dividend	- Payout * Reinvest	5,000 5,000	1,000 1,000
		Institutional Plan	Growth * Dividend	- Payout * Reinvest	5,00,00,000 5,00,00,000	1,000 1,000
	<b>DSP BlackRock India T.I.G.E.R. Fund</b> (The Infrastructure Growth and Economic Reforms Fund)	Regular Plan*	Growth * Dividend	- Payout * Reinvest	5,000 5,000	1,000 1,000
		Institutional Plan	Growth * Dividend	- Payout * Reinvest	5,00,00,000 5,00,00,000	1,000 1,000
	<b>DSP BlackRock Tax Saver Fund</b>	-	Growth * Dividend	- Payout * Reinvest	500 500	500 500
	<b>DSP BlackRock Small and Mid Cap Fund</b>	Regular Plan*	Growth * Dividend	- Payout * Reinvest	5,000 5,000	1,000 1,000
		Institutional Plan	Growth * Dividend	- Payout * Reinvest	5,00,00,000 5,00,00,000	1,000 1,000
	<b>DSP BlackRock Natural Resources and New Energy Fund</b>	Regular Plan*	Growth * Dividend	- Payout * Reinvest	5,000 5,000	1,000 1,000
Institutional Plan		Growth * Dividend	- Payout * Reinvest	5,00,00,000 5,00,00,000	1,000 1,000	
<b>Hybrid Schemes</b>	<b>DSP BlackRock Savings Plus Fund – Conservative</b>	-	Growth * Monthly Income Payment (MIP) ^ Quarterly Income Payment	- Payout * Reinvest Payout * Reinvest	10,000 10,000 10,000	1,000 1,000 1,000
	<b>DSP BlackRock Savings Plus Fund – Moderate</b>	-	Growth * Monthly Income Payment (MIP) ^ Quarterly Income Payment	- Payout * Reinvest Payout * Reinvest	10,000 10,000 10,000	1,000 1,000 1,000
	<b>DSP BlackRock Savings Plus Fund – Aggressive</b>	-	Growth * Monthly Income Payment (MIP) ^ Quarterly Income Payment	- Payout * Reinvest Payout * Reinvest	10,000 10,000 10,000	1,000 1,000 1,000
	<b>DSP BlackRock Balanced Fund</b>	-	Growth * Dividend	- Payout * Reinvest	5,000 5,000	1,000 1,000

\* In case the investor does not fill the plan / option / sub-option properly and clearly or in case of incomplete details, non clarity or ambiguity, default options will be considered and applied.

^ Monthly Income is not assured and is subject to the availability of distributable surplus.